

12-18-01

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12/14/01



UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 42055/SAH/K415
Inventor(s) : Philip J. Kellman, Ph.D.
Title : System and Method for Adaptive Learning
Express Mail Label No. : EL 904750295 US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: December 14, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

___ This application is a ___ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

___ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

Specification

72 Specification, claims and Abstract (total pages)

Drawings

12 Sheets of formal drawing(s) (FIGS. 1 to 12)

Declaration and Power of Attorney

☒ Newly executed
___ Unexecuted declaration
___ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ___ **Microfiche Computer Program** (Appendix)

5. ___ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

___ Computer Readable Copy
___ Paper Copy (identical to computer copy)
___ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
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7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
 - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☒ Other Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Art Hasan

Reg. No. 41,057

626/795-9900

SAH/jhg

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: December 14, 2001

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Inventor(s) : Philip J. Kellman, Ph.D.

Title : System and Method for Adaptive Learning

Duplicate

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	62 - 20	42	42 x \$9.00	x \$18.00	\$378.00
INDEPENDENT CLAIMS	8 - 3	5	5 x \$42.00	x \$84.00	\$210.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$0.00
BASIC FEE			\$370.00	\$740.00	\$370.00
TOTAL FILING FEE					\$958.00
List Independent Claims: 1, 21, 31, 32, 43, 50, 51, 57					

METHOD OF PAYMENT

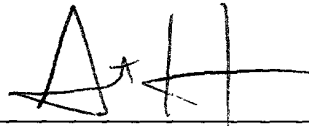
 X Payment Enclosed: Check for \$958.00

 X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Art Hasan
Reg. No. 41,057
626/795-9900

SAH/jhg

JHG PAS402607.1-*12/14/01 11:52 AM

NOTES FOR THE ATTORNEY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL 904750295US

Applicant : Philip J. Kellman, Ph.D.
Filed :
Title : System and Method for Adaptive Learning
Docket No. : 42055/SAH/K415

REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents
Washington, D.C. 20231

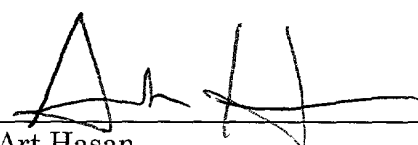
Post Office Box 7068
Pasadena, CA 91109-7068
December 14, 2001

Commissioner:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
Art Hasan
Reg. No. 41,057
626/795-9900

SAH/jhg

10030718-121401
PATENT REPORT